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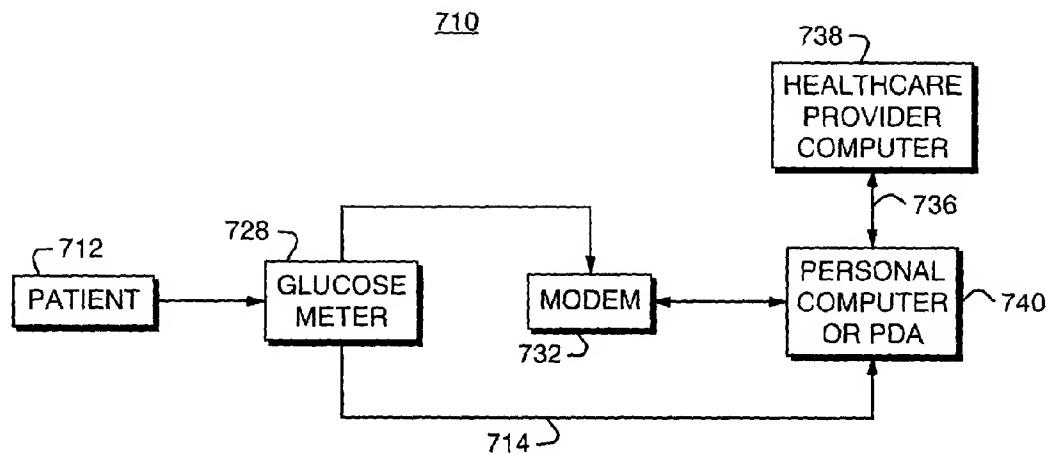
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(54) Title: METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR THE EVALUATION OF GLYCEMIC CONTROL IN DIABETES FROM SELF-MONITORING DATA

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(57) Abstract: A method, system, and computer program product related to the diagnosis of diabetes, and is directed to predicting the long-term risk of hyperglycemia, and the long-term and short-term risks of severe hypoglycemia in diabetics, based on blood glucose readings collected by a self-monitoring blood glucose device. The method, system, and computer program product pertain directly to the enhancement of existing home blood glucose monitoring devices, by introducing an intelligent data interpretation component capable of predicting both HbA_{1c} and periods of increased risk of hypoglycemia, and to the enhancement of emerging continuous monitoring devices by the same features. With these predictions the diabetic can take steps to prevent the adverse consequences associated with hyperglycemia and hypoglycemia.

**METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT
FOR THE EVALUATION OF GLYCEMIC CONTROL IN
DIABETES FROM SELF-MONITORING DATA**

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CROSS-REFERENCES TO RELATED APPLICATIONS

The present invention claims priority from U.S. Provisional Patent Application Serial No. 60/193,037 filed March 29, 2000, entitled "Algorithm for the Evaluation of Glycemic Control in Diabetes From Self-Monitoring Data" the entire disclosure of which is hereby incorporated by reference herein.

US GOVERNMENT RIGHTS

This invention was made with United States Government support under Grant Nos. NIH / NIDDK: RO1 DK 28288 and NIH / NIDDK: RO1 DK 51562, both awarded by National Institutes of Health. The United States Government has certain rights in the invention.

FIELD OF THE INVENTION

The present system relates generally to Glycemic Control of individuals with diabetes, and more particularly to a computer-based system and method for evaluation of predicting glycosylated hemoglobin (HbA_{1c} and HbA₁) and risk of incurring hypoglycemia.

BACKGROUND OF THE INVENTION

Extensive studies, including the Diabetes Control and Complications Trial (DCCT) (See DCCT Research Group: The Effect Of Intensive Treatment Of Diabetes On The Development And Progression Of Long-Term Complications Of Insulin-Dependent Diabetes Mellitus. *New England Journal of Medicine*, 329: 978-986, 1993), the Stockholm Diabetes Intervention Study (See Reichard P, Phil M: Mortality and Treatment Side Effects During Long-term Intensified Conventional Insulin Treatment in the Stockholm Diabetes Intervention Study. *Diabetes*, 43: 313-317, 1994), and the United Kingdom Prospective Diabetes Study (See UK Prospective Diabetes Study Group: Effect of Intensive Blood Glucose Control With Metformin On

Complications In Patients With Type 2 Diabetes (UKPDS 34). *Lancet*, 352: 837-853, 1998), have repeatedly demonstrated that the most effective way to prevent the long term complications of diabetes is by strictly maintaining blood glucose (BG) levels within a normal range using intensive insulin therapy.

5 However, the same studies have also documented some adverse effects of intensive insulin therapy, the most acute of which is the increased risk of frequent severe hypoglycemia (SH), a condition defined as an episode of neuroglycopenia which precludes self-treatment and requires external help for recovery (See DCCT Research Group: Epidemiology of Severe Hypoglycemia In The Diabetes Control and
10 Complications Trial. *American Journal of Medicine*, 90: 450-459, 1991, and DCCT Research Group: Hypoglycemia in the Diabetes Control and Complications Trial. *Diabetes*, 46: 271-286, 1997). Since SH can result in accidents, coma, and even death, patients and health care providers are discouraged from pursuing intensive therapy. Consequently, hypoglycemia has been identified as a major barrier to improved
15 glycemic control (Cryer PE: Hypoglycemia is the Limiting Factor in the Management Of Diabetes. *Diabetes Metab Res Rev*, 15: 42-46, 1999).

Thus, patients with diabetes face a life-long optimization problem of maintaining strict glycemic control without increasing their risk of hypoglycemia. A major challenge related to this problem is the creation of simple and reliable methods
20 that are capable of evaluating both patients' glycemic control and their risk of hypoglycemia, and that can be applied in their everyday environments.

It has been well known for more than twenty years that glycosylated hemoglobin is a marker for the glycemic control of individuals with Diabetes Mellitus (Type I or Type II). Numerous researchers have investigated this relationship and
25 have found that glycosylated hemoglobin generally reflects the average BG levels of a patient over the previous two months. Since in the majority of patients with diabetes the BG levels fluctuate considerably over time, it was suggested that the real connection between integrated glucose control and HbA_{1c} would be observed only in patients known to be in stable glucose control over a long period of time.

30 Early studies of such patients produced an almost deterministic relationship between the average BG level in the preceding 5 weeks and HbA_{1c}, and this curvilinear association yielded a correlation coefficient of 0.98 (See Aaby Svendsen P, Lauritzen T, Soegard U, Nerup J (1982). Glycosylated Hemoglobin and Steady-State Mean

Blood Glucose Concentration in Type 1 (Insulin-Dependent) Diabetes, Diabetologia, 23, 403-405). In 1993 the DCCT concluded that HbA_{1c} was the “logical nominee” for a gold-standard glycosylated hemoglobin assay, and the DCCT established a linear relationship between the preceding mean BG and HbA_{1c} (See Santiago JV (1993).

5 Lessons from the Diabetes Control and Complications Trial, Diabetes, 42, 1549-1554).

Guidelines were developed indicating that an HbA_{1c} of 7% corresponds to a mean BG of 8.3 mM (150 mg/dl), an HbA_{1c} of 9% corresponds to a mean BG of 11.7 mM (210 mg/dl), and a 1% increase in HbA_{1c} corresponds to an increase in mean BG of 1.7 mM (30 mg/dl, 2). The DCCT also suggested that because measuring the mean
10 BG directly is not practical, one could assess a patient’s glycemic control with a single, simple test, namely HbA_{1c}. However, studies clearly demonstrate that HbA_{1c} is not sensitive to hypoglycemia.

Indeed, there is no reliable predictor of a patient’s immediate risk of SH from any data. The DCCT concluded that only about 8% of future SH could be predicted
15 from known variables such as the history of SH, low HbA_{1c}, and hypoglycemia unawareness. One recent review details the current clinical status of this problem, and provides options for preventing SH, that are available to patients and their health care providers (See Bolli, GB: How To Ameliorate The Problem of Hypoglycemia In
Intensive As Well As Nonintensive Treatment Of Type I Diabetes. Diabetes Care, 22,
20 Supplement 2: B43-B52, 1999).

Contemporary home BG monitors provide the means for frequent BG measurements through Self-Monitoring of BG (SMBG). However, the problem with SMBG is that there is a missing link between the data collected by the BG monitors, and HbA_{1c} and hypoglycemia. In other words, there are currently no reliable methods
25 for evaluating HbA_{1c} and recognizing imminent hypoglycemia based on SMBG readings (See Bremer T and Gough DA: Is blood glucose predictable from previous values? A solicitation for data. Diabetes 48:445-451, 1999).

Thus, an object of this invention is to provide this missing link by proposing three distinct, but compatible, algorithms for evaluating HbA_{1c} and the risk of
30 hypoglycemia from SMBG data, to be used to predict the short-term and long-term risks of hypoglycemia, and the long-term risk of hyperglycemia.

The inventors have previously reported that one reason for a missing link between the routinely available SMBG data and the evaluation of HbA_{1c} and the risk

of hypoglycemia, is that the sophisticated methods of data collection and clinical assessment used in diabetes research, are infrequently supported by diabetes-specific and mathematically sophisticated statistical procedures.

Responding to the need for statistical analyses that take into account the specific distribution of BG data, the inventors developed a symmetrizing transformation of the blood glucose measurement scale (See Kovatchev BP, Cox DJ, Gonder-Frederick LA and WL Clarke (1997). Symmetrization of the Blood Glucose Measurement Scale and Its Applications, *Diabetes Care*, 20, 1655-1658) that works as follows. The BG levels are measured in mg/dl in the United States, and in mmol/L (or mM) in most other countries. The two scales are directly related by $18 \text{ mg/dl} = 1 \text{ mM}$. The entire BG range is given in most references as 1.1 to 33.3 mM, and this is considered to cover practically all observed values. According to the recommendations of the DCCT (See DCCT Research Group (1993) The Effect Of Intensive Treatment of Diabetes On the Development and Progression of Long-Term Complications of Insulin-Dependent Diabetes Mellitus. *New England Journal of Medicine*, 329, pp 978-986) the target BG range – also known as the euglycemic range– for a person with diabetes is 3.9 to 10 mM, hypoglycemia occurs when the BG falls below 3.9 mM, and hyperglycemia is when the BG rises above 10 mM. Unfortunately, this scale is numerically asymmetric -- the hyperglycemic range (10 to 33.3mM) is wider than the hypoglycemic range (1.1 to 3.9mM), and the euglycemic range (3.9 to 10mM) is not centered within the scale. The inventors correct this asymmetry by introducing a transformation, $f(BG)$, which is a continuous function defined on the BG range $[1.1, 33.3]$, having the two-parameter analytical form:

$$f(BG, \alpha, \beta) = [(\ln(BG))^{\alpha} - \beta], \alpha, \beta > 0$$

and which satisfies the assumptions:

$$\text{A1: } f(33.3, \alpha, \beta) = -f(1.1, \alpha, \beta) \text{ and}$$

$$\text{A2: } f(10.0, \alpha, \beta) = -f(3.9, \alpha, \beta).$$

Next, $f(\cdot)$ is multiplied by a third scaling parameter to fix the minimum and maximum values of the transformed BG range at $-\sqrt{10}$ and $\sqrt{10}$ respectively. These values are convenient since a random variable with a standard normal distribution has 99.8% of its values within the interval $[-\sqrt{10}, \sqrt{10}]$. If BG is measured in mmol/l, when solved numerically with respect to the assumptions A1 and A2, the parameters of

the function $f(BG, \alpha, \beta)$ are $\alpha = 1.026$, $\beta = 1.861$, and the scaling parameter is $\gamma = 1.794$. If BG is measured in mg/dl instead, the parameters are computed to be $\alpha = 1.084$, $\beta = 5.381$, and $\gamma = 1.509$.

Thus, when BG is measured in mmol/l, the symmetrizing transformation is
5 $f(BG) = 1.794[(\ln(BG))^{1.026} - 1.861]$, and when BG is measured in mg/dl the
symmetrizing transformation is $f(BG) = 1.509[(\ln(BG))^{1.084} - 5.381]$.

On the basis of the symmetrizing transformation $f(\cdot)$ the inventors introduced
the Low BG Index – a new measure for assessing the risk of hypoglycemia from
SMBG readings (See Cox DJ, Kovatchev BP, Julian DM, Gonder-Frederick LA,
10 Polonsky WH, Schlundt DG, Clarke WL: Frequency of Severe Hypoglycemia In
IDDM Can Be Predicted From Self-Monitoring Blood Glucose Data. *Journal of
Clinical Endocrinology and Metabolism*, 79: 1659-1662, 1994, and Kovatchev BP,
Cox DJ, Gonder-Frederick LA Young-Hyman D, Schlundt D, Clarke WL. Assessment
of Risk for Severe Hypoglycemia Among Adults With IDDM: Validation of the Low
15 Blood Glucose Index, *Diabetes Care* 21:1870-1875, 1998). Given a series of SMBG
data the Low BG Index is computed as the average of $10 \cdot f(BG)^2$ taken for values of
 $f(BG) < 0$ and 0 otherwise. Also suggested was a High BG Index, computed in a
symmetrical to the Low BG Index manner, however this index did not find its practical
application.

20 Using the Low BG Index in a regression model the inventors were able to
account for 40% of the variance of SH episodes in the subsequent 6 months based on
the SH history and SMBG data, and later to enhance this prediction to 46% (See
Kovatchev BP, Straume M, Farhi LS, Cox DJ: Estimating the Speed of Blood Glucose
Transitions and its Relationship With Severe Hypoglycemia. *Diabetes*, 48:
25 Supplement 1, A363, 1999).

In addition, the inventors developed some data regarding HbA_{1c} and SMBG
(See Kovatchev BP, Cox DJ, Straume M, Farhy LS. Association of Self-monitoring
Blood Glucose Profiles with Glycosylated Hemoglobin. In: Methods in Enzymology,
vol. 321: Numerical Computer Methods, Part C, Michael Johnson and Ludvig Brand,
30 Eds., Academic Press, NY; 2000).

These developments became a part of the theoretical background of this
invention. In order to bring this theory into practice, several key theoretical
components, among other things, as described in the following sections, were added.

In particular, three methods were developed for employing the evaluation of HbA_{1c}, long-term and short-term risk for hypoglycemia. The development of these methods was, but not limited thereto, based on detailed analysis of data for 867 individuals with diabetes that included more than 300,000 SMBG readings, records of severe 5 hypoglycemia and determinations of HbA_{1c}.

The inventors have therefore sought to improve upon the aforementioned limitations associated with the conventional methods, and thereby provide simple and reliable methods that are capable of evaluating both patients' glycemic control and their risk of hypoglycemia, and that can be applied in their everyday environments.

10

SUMMARY OF THE INVENTION

The invention includes a data analysis method and computer-based system for the simultaneous evaluation, from routinely collected SMBG data, of the two most important components of glycemic control in diabetes: HbA_{1c} and the risk of 15 hypoglycemia. For the purposes of this document, self-monitoring of BG (SMBG) is defined as any method for determination of blood glucose at diabetic patients' natural environment and includes the methods used by contemporary SMBG devices customarily storing 200-250 BG readings, as well as methods used by emerging continuous monitoring technologies. Given this broad definition of SMBG, this 20 invention pertains directly to the enhancement of existing home blood glucose monitoring devices by introducing an intelligent data interpretation component capable of predicting both HbA_{1c} and periods of increased risk of hypoglycemia, as well as to enhancement of future continuous monitoring devices by the same features.

One aspect of the invention includes a method, system, and computer program 25 product for evaluating HbA_{1c} from a predetermined period of collected SMBG data, for example 4-6 weeks. In one embodiment, the invention provides a computerized method and system for evaluating the HbA_{1c} of a patient based on BG data collected over a predetermined duration. The method includes computing weighted deviation toward high blood glucose (WR) and estimated rate of change of blood glucose (Dr) 30 based on the collected BG data; estimating HbA_{1c} using a predetermined mathematical formula based on the computed WR and Dr; and providing a predetermined confidence interval for classification of said estimated value of HbA_{1c}.

Another aspect of the invention includes a method, system, and computer program product for estimating the long-term probability for severe hypoglycemia (SH). This method uses SMBG readings from a predetermined period, for example 4-6 weeks, and predicts the risk of SH within the following 6 months. In one embodiment, the invention provides a computerized method and system for evaluating the long term probability for severe hypoglycemia (SH) of a patient based on BG data collected over a predetermined duration. The method includes: computing weighted deviation toward low blood glucose (WL) and estimated rate of fall of blood glucose in the low BG range (DrDn) based on the collected BG data; estimating the number of future SH episodes using a predetermined mathematical formula based on the computed WL and DrDn; and defining a probability of incurring a select number of SH episodes respective to said estimated SH episodes.

Still yet another aspect of the invention includes a method, system, and computer program product for identifying 24-hour periods (or other select periods) of increased risk of hypoglycemia. This is accomplished through the computation of the short-term risk of hypoglycemia using SMBG readings collected over the previous 24 hours. In one embodiment, the invention provides a computerized method and system for evaluating the short term risk for severe hypoglycemia (SH) of a patient based on BG data collected over a predetermined duration. The method includes: computing weighted deviation toward low blood glucose (WL); determining $Max(wl)$ by calculating maximum value of $wl(BG; 2)$; determining risk value by taking the geometric mean of WL and $Max(wl)$ over the predetermined duration; providing a predetermined threshold risk value; and comparing the determined risk value to the threshold risk value.

These three aspects of the invention can be integrated together to provide continuous information about the glycemic control of an individual with diabetes, and enhanced monitoring of the risk of hypoglycemia.

These and other objects, along with advantages and features of the invention disclosed herein, will be made more apparent from the description, drawings and claims that follow.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the present invention, as well as the invention itself, will be more fully understood from the following description of preferred embodiments, when read together with the 5 accompanying drawings in which:

FIG. 1 is a flow chart illustrating the method of calculating the estimated HbA_{1c} and predicted HbA_{1c} confidence intervals in accordance with the present invention.

FIG. 2 is a flow chart illustrating the method of calculating the estimated number of future SH episodes and the associated probability thereof in accordance 10 with the present invention.

FIG. 3 is a flow chart illustrating the method of calculating the estimated short term risk of an incurring imminent SH in accordance with the present invention.

FIG. 4 is graphical representation of a typical BG disturbances observed before and after an episode of severe hypoglycemia.

15 FIG. 5 illustrates the action of the method for predicting short-term SH by presenting 10 weeks of data for Subject A (upper panel) and Subject B (lower panel). SH episodes are marked by triangle; a black line presents the risk value. When the risk threshold is crossed, the method indicates a subsequent high-risk period (gray bar).

20 FIG. 6 is a functional block diagram for a computer system for implementation of the present invention.

FIGS. 7-9 are schematic block diagrams of alternative variations of the present invention related processors, communication links, and systems.

DETAILED DESCRIPTION OF THE INVENTION

25 The invention makes possible, but not limited thereto, the creation of precise methods for the evaluation of diabetics' glycemic control, and include, firmware and software code to be used in computing the key components of the method. The inventive methods for evaluating HbA_{1c}, the long-term probability of SH, and the short-term risk of hypoglycemia, are also validated based on the extensive data 30 collected, as will be discussed later in this document. Finally, the aspects of these methods can be combined in structured display or matrix.

Stationary measures of BG deviation

According to the inventors' theory of BG symmetrization (See Kovatchev BP, Straume M, Cox DJ, Farhi LS. Risk Analysis of Blood Glucose Data: A Quantitative Approach to Optimizing the Control of Insulin Dependent Diabetes. J of Theoretical Medicine, 3:1-10, 2001) the natural clinical center of the BG measurement scale is at BG level of 112.5 mg/dl (6.25 mmol/l) – a safe euglycemic value for a diabetes patient.

Given this clinical center of the BG scale, the weighted deviations to the left (towards hypoglycemia) or to the right (towards hyperglycemia) are computed. The degree of weighting of these deviations will be represented by parameters a and b respectively as follows:

$$wl(BG; a) = 10.f(BG)^a \text{ if } f(BG) < 0 \text{ and } 0 \text{ otherwise, and}$$

$$wr(BG; b) = 10.f(BG)^b \text{ if } f(BG) > 0 \text{ and } 0 \text{ otherwise,}$$

where $f(BG)$ is the BG symmetrization function presented in the background section.

The weighting parameter a and b could be different, or the same for the left and right deviations. The inventors' data analyses demonstrated that the optimal for practical application parameter values are $a=2$ (which is the parameter value used for computation of the Low BG Index) and $b=1$. Given a series of BG readings x_1, x_2, \dots, x_n , the average weighted deviations to the left and to the right of the clinical center of the BG scale are defined as:

$$WL = \frac{1}{n} \sum_{i=1}^n wl(x_i; 2) \text{ and } WR = \frac{1}{n} \sum_{i=1}^n wr(x_i; 1) \text{ respectively.}$$

These two measures of BG deviation do not depend on the timing of the BG readings, and therefore are stationary. In order to capture the dynamics of BG change, measures of the BG rate of change are introduced as provided below.

25

Computation of BG risk rate of change

Let x_1, x_2, \dots, x_n be n SMBG readings of a subject recorded at time points t_1, t_2, \dots, t_n . This data is next transformed by calculating the numbers $f(x_1), f(x_2), \dots, f(x_n)$ and draw a cubic spline $S(t)$ passing through the points $(t_1, f(x_1)), (t_2, f(x_2)), \dots, (t_n, f(x_n))$. Thus, the function $S(t)$ is a continuous function defined on the whole interval $[t_1, t_n]$ and such that $S(t_j) = f(x_j)$, for $j=1, \dots, n$. Also calculated are the set of

numbers $s_k = 10.S(k + t_1)^2$ for $k=0, 1, \dots, t_n - t_1$, thus getting interpolated values at one-hour increments.

Next, consider all couples of numbers s_k with consecutive indices:

$C_0=(s_0, s_1)$, $C_1=(s_1, s_2)$, $C_2=(s_2, s_3)$, and denote by M_{up} the set of all couples C_k ,

5 such that $s_k > s_{k+1}$ and by M_{dn} the set of all couples C_k , such that $s_k < s_{k+1}$.

Finally, let $DrDn$ be the average of the numbers $s_{k+1} - s_k$, provided that $C_k \in M_{dn}$, and Dr be the average of the numbers $s_{k+1} - s_k$, provided that $C_k \in M_{up} + M_{dn}$.

The numbers $DrDn$ and Dr provide a measure for the rate of change of BG in a “risk space,” e.g. the rate of change of the risk associated with any BG level change.

10 In addition, $DrDn$ measures the rate of BG change only when BG goes down, i.e. $DrDn$ evaluates how quickly the risk could increase when BG falls, while Dr is a measure of the overall vulnerability of BG to fluctuations. It is further asserted that $DrDn$ will be associated with risk for hypoglycemia (if someone’s blood glucose could fall quickly, his/her risk for hypoglycemia would be higher), while Dr will be

15 associated with the overall stability of BG.

Software code (presented in SPSS control language)

The first is for when the BG readings are in mmol/L, and in this case the variable is BGMM. The second is for when the BG readings are in mg/dl, and in this

20 case the variable is BGMG.

If BG is measured in mmol/L, each BG reading is first transformed as follows:

```
SCALE1=(ln(BGMM))**1.026 - 1.861
RISK1=32.185*SCALE1*SCALE1
```

If BG is measured in mg/dl, each BG reading is first transformed as follows:

25 SCALE2=(ln(BGMG))**1.08405 - 5.381
RISK2=22.765*SCALE2*SCALE2

Further, the left and right weighted deviations are computed as follows:

```
WL=0
IF (SCALE1 le 0.0) WL=RISK1
30 WR=0
IF (SCALE1 gt 0.0) WR=sqrt(RISK1)
```

Provided that the BG readings are equally spaced in time, or are interpolated at one-hour increments, the BG rate of change is computed as:

Dr = RISK1(BG) - RISK1(BG-1)

DrDn=0

5 IF(SCALE le 0.0 and Dr gt 0) DrDn=Dr

Finally, an aggregation pass through all BG readings for a subject will produce:

WL = mean(WL)

WR = mean(WH)

Dr = mean(Dr), and DrDn = mean(DrDn)

10

Method for the Evaluation of HbA_{1c}

A preferred embodiment of HbA_{1c} evaluation method 100 according to the invention is illustrated in FIG. 1. In a first step 102, SMBG data is collected over a predetermined period of time. For example, the SMBG data is collected over 4-6 weeks with a frequency of 3-5 BG measurements per day, of which are transformed by the code or formulas presented in the previous section. Different formulas are to be used if the BG measurements are stored in mg/dl, or in mmol/l. One skilled in the art would appreciate that various levels, durations, and frequencies can be employed. In a step 104, weighted deviation towards high blood glucose (WR) and estimated rate of change of blood glucose (Dr) is computed using the formula / code discussed above. In a step 106, an estimate of HbA_{1c} from self-monitoring data is computed using the linear function: EstHbA1c = 0.9008*WR - 0.8207*DR + 6.7489. It is noted that the coefficients of this function are derived from data for 867 individuals with diabetes, and one would recognize that further data accumulation may update these coefficients. 25 In step 108 HbA_{1c} estimate categories representing a range of values for estimated HbA_{1c} are defined according to Table 1.

Table 1: Defining categories on the basis of EstHbA1c:

EstHbA1c	< 7.8	7.8 - 8.5	8.5 - 9.0	9.0 - 9.6	9.6 - 10.3	10.3-11.0	> 11.0
Category	1	2	3	4	5	6	7

In step 110 predicted confidence intervals for corresponding HbA_{1c} are derived according to Table 2.

Table 2: Predicted 95% confidence intervals for classification of HbA_{1c}:

Category	1	2	3	4	5	6	7
HbA _{1c}	< 8.0	8.0 – 8.5	8.5 – 9.0	9.0 – 9.5	9.5 – 10.1	10.1-11.0	> 11.0

5 In step 112, the estimated HbA_{1c} from step 106 is assigned in one of the categories provided in Table 1 and / or Table 2.

Empirical Validation of Evaluation of HbA_{1c}

The intervals for HbA_{1c} in Table 2 are based on extensive research. To validate 10 these intervals we analyzed SMBG and HbA_{1c} data from 867 subjects with diabetes.

All subjects were instructed to use BG memory meters for six months and to measure their BG two to four times a day. During the same period 5 to 8 HbA_{1c} assays were performed for each subject. The memory meter data were electronically downloaded and stored in a computer for further analysis. This procedure produced a database 15 containing more than 300,000 SMBG readings and 4,180 HbA_{1c} assays taken over six months. Analysis of variance was conducted to compare HbA_{1c} in the seven categories identified in Table 1. The five categories were highly significantly different, with F=91 and p<0.00001. Moreover, the average HbA_{1c} was significantly different for each pair of categories as demonstrated by Duncan's ranges, with p<0.01.

20 Also, 95% confidence intervals were computed for the mean value of HbA_{1c} in each of the seven categories. These confidence intervals were used as a basis for computing the HbA_{1c} intervals presented in Table 2. Post-hoc analysis of the classification power of this method demonstrated that the method was well protected against extreme errors such as incorrectly classifying HbA_{1c} in category 1, 2 or 3 on 25 the basis of SMBG while the actual HbA_{1c} was greater than 9.5%, or classifying HbA_{1c} in category 5, 6 or 7 while the actual HbA_{1c} was below 9.0%.

In summary, after an initial 4-6 weeks of SMBG readings the computerized method computes an interval estimate for the value of HbA_{1c} that can be used to track patients' changes in glycemic control in the high BG range.

Method for Evaluation of the Long-Term Probability for Severe Hypoglycemia (SH)

A preferred embodiment of long-term probability for SH evaluation method 200 according to the invention is illustrated in FIG. 2. In a first step 202, SMBG data is collected over a predetermined period of time. For example, the SMBG data is 5 collected over 4-6 weeks with a frequency of 3-5 BG measurements per day, of which are transformed by the code or formulas presented immediately above. Different formulas are to be used if the BG measurements are stored in mg/dl, or in mmol/l; One skilled in the art would appreciate that various levels, durations, and frequencies can be employed. In a step 204, WL and DrDn are computed using the formula / code 10 as discussed above. In step 206, an estimate of the number of future SH episodes is computed using the linear function:

$$\text{EstNSH} = 3.3613 * \text{WL} - 4.3427 * \text{DrDn} - 1.2716.$$

It is noted that the coefficients of this function are derived from data for 181 individuals with diabetes, and one would appreciate that further data accumulation 15 may update these coefficients. It is further noted that this formula provides a single value estimate for the number of future SH episodes and that through additional methodologies, as discussed below, categories are provided with ranges and confidence levels for enhanced clinical applications. In step 208, estimated number of SH episodes (estNSH) categories representing a range of values for estNSH are 20 defined according to Table 3.

Table 3: Classification of EstNSH:

EstNSH	< 0.775	0.775 – 3.750	3.750 – 7.000	> 7.000
Category	1	2	3	4

In step 210, respective to the estNSH categories, the probability of incurring 0, 1-2, or more than 2 SH episodes in the following six months is derived, as represented 25 in table 4.

Table 4: Probability for 0, 1-2, or 2 or more SH episodes in the subsequent 6 months:

	Category 1	Category 2	Category 3	Category 4
0 SH	90%	50%	25%	< 20%
1-2 SH	10%	25%	25%	
> 2 SH		25%	50%	

In step 212, the EstNSH from step 206 is assigned in one of the categories provided in Table 3 and / or Table 4.

Empirical Validation of Evaluation of the Long-Term Probability for SH

5 One-hundred-eighty-one adults with Type 1 diabetes (mean age 37 years, duration of diabetes 18 years) used memory meters to collect more than 34,000 SMBG over a month. Then for the next six months they recorded in diaries any occurrence of SH. The SMBG data were mathematically transformed and an a linear regression model was used to predict future severe hypoglycemia resulting in a highly significant
10 model (F=36.3, p<0.0001) and multiple R of 55%.

All subjects were classified into 4 categories using the present long-term SH method. The average number of future SH episodes in categories 1, 2, 3, and 4 was 0.3, 2.0, 5.0, and 9.75 respectively. Analysis of variance demonstrated highly significant differences between these categories, F=19.0, p<0.0001.

15 In summary, a linear combination of the Low BG Index and the rate of drop of BG as measured in “risk space” provide an accurate assessment of the long-term risk of SH. Because it is based on SMBG records that are automatically stored by many reflectance meters, this is an effective and clinically useful indicator of patients’ glycemic control in the low BG range.

20

Method for the Evaluation of the Short-term (within 24 hours) Risk of Hypoglycemia

A preferred embodiment of short term risk of SH evaluation method 300 according to the invention is illustrated in FIG. 3. In a first step 302, SMBG is data is collected over a predetermined short term period. For example, the SMBG data is
25 collected over a 24 hour period, with a frequency of 3-5 BG measurements per day - 4 or more readings, as a nominal level according to data analyses. One skilled in the art would appreciate that various levels, periods (durations), and frequencies can be employed. In a step 304 WL(24) and Max(wl) is computed from all readings collected within the preceding 24 hours, wherein the maximum value of wl(BG;2) is Max(wl).
30 In step 306, the risk value is by taking the geometric mean of WL and Max(wl) over the 24 hour period, wherein said risk value is mathematically defined as:

$$Risk(24) = \sqrt{WL(24) \bullet Max(wl)} ;$$

In step 308 a threshold risk value is determined. In step 310 the estimated risk value is compared to the threshold risk value. For example, if the threshold risk value is set at 17, then if $Risk(24) > 17$, then--based on the SMBG data collected over the previous 24 hours-- the resultant indication is a high risk of the patient incurring 5 imminent hypoglycemia. In other words, this is a decision-making rule that considers a 24-hour period of SMBG data and judges whether this period is likely to precede an imminent hypoglycemia episode. The threshold value of 17 is derived from an extensive data set, however, it is recognized that it is possible that this value maybe adjusted with further accumulation of data or for additional objectives.

10

Empirical Validation of Evaluation of the Short-term Risk of Hypoglycemia:

Eighty-five individuals were recruited through advertisement in newsletters, diabetes clinics, and through direct referrals. The inclusion criteria were: 1) age of 21-60 years; 2) type I diabetes with at least two years duration, and insulin use since the 15 time of diagnosis; 3) at least 2 documented SH episodes in the past year; and 4) routine use of SMBG devices for diabetes monitoring. The participants were instructed to use the meter 3-5 times a day, and to record in monthly diaries any SH episodes, including the exact dates and times of their occurrences. SH was defined as severe neuroglycopenia that results in stupor or unconsciousness and precludes self-treatment.

20 For each subject the study continued 6-8 months and each month the subject's meter was downloaded and the SH diary was collected. The memory capacity of the meters was sufficient, and the downloading was often enough, so that no BG data were lost. No changes were made in the participants' diabetes management routine, nor were any additional treatments administered during the study.

25 During the study a total of 75,495 SMBG readings (on average 4.0 ± 1.5 per subject per day) were downloaded from the participants' memory meters, and 399 (4.7 ± 6.0 per subject) SH episodes were recorded in their diaries. An important finding, among other things, was that episodes of moderate or severe hypoglycemia are preceded and followed by measurable BG disturbances. In the 24-hour period before 30 an SH episode the Low BG Index (e.g. *WL*) rose ($p < 0.001$), the average BG was lower ($p = 0.001$), and the BG variance increased ($p = 0.001$). In the 24 hours following the SH episode, the Low BG Index and BG variance remained elevated ($p < 0.001$), but the average BG returned to its baseline.

To this end, FIG. 4 is graphical representation of a typical BG disturbance observed before and after an episode of severe hypoglycemia. In the period 48 to 24 hours before the SH episode, the average BG level decreased and the variance of BG increased. In the 24-hour period immediately preceding the SH episode, the average 5 BG level dropped further and the variance of BG continued to increase. In the 24-hour period following the SH episode, the average BG level normalized, but the BG variance remained greatly increased. Both the average BG and its variance returned to their baseline levels within 48 hours after the SH episode.

As such, as part of the invention, the disturbances presented in FIG. 4 are 10 quantified from SMBG data to enable the evaluation of the short-term risk of hypoglycemia. The cutoff value of $Risk(24)=17$ is derived from an optimization along the following restrictions: 1) the method had to predict a maximum percentage of SH episodes, i.e. to identify as risky a maximum percentage of 24-hour periods preceding SH, and 2) to prevent overestimation of the risk, the method had to identify as risky no 15 more than 15% of the total time of the study (one day a week on average). The cutoff risk value of 17 was held constant for all subjects. The reason for choosing the value of 15% was to prevent the patients from becoming irritated with an overabundance of “false alarms” and then ignoring “true alarms.” In practice, a patient’s physician can 20 select an alternate value depending on the severity of the patient’s diabetes and particular objectives.

The following example illustrates the action of the algorithm on the SMBG data of two participants in the study. FIG. 5 presents ten weeks of data for Subject A (upper panel) and Subject B (lower panel). SH episodes are marked by triangles; a black curve presents the risk value. When the risk threshold (the horizontal line at 25 $Risk=17$) is crossed, the algorithm indicates a subsequent high-risk period (gray bar). For Subject A, 7 out of 9 SH episodes are predicted and there are 5 false alarms, e.g. high-risk periods that did not result in SH; for Subject B there are 3 false alarms and the only SH episode is predicted. It is obvious that Subject B’s risk values when compared to Subject A’s risk values, include more and higher deviations. For both 30 subjects, all SH episodes were accompanied by supercritical risk values, and about half of all large deviations were accompanied by one or more SH episode.

Across all participants in the study, 44% of all recorded SH episodes were preceded, within 24 hours, by a high-risk period, and 50% were preceded, within 48

hours, by a high-risk period. If only periods with either at least 3, or at least 4 SMBG measurements were considered, the accuracy of the latter prediction increased to 53% and 57%, respectively. Post-hoc analysis of BG levels occurring during, or immediately after, high-risk periods that were not followed by an SH episode, i.e. 5 during, or immediately after false alarms, demonstrated that the average per subject minimum of such BG levels was 2.3 ± 0.2 mmol/l versus 5.9 ± 1.7 mmol/l ($t=19.5$, $p<0.0001$) for all non-risk periods, including all SH episodes that remained unaccounted for. This indicates that, although symptomatic SH did not occur, BG levels following high-risk periods were notably low.

10 In summary, the inventors simulated the action of the short-term risk method on a 6-month series of SMBG readings for 85 individuals with Type I diabetes. With four or more SMBG readings per day, at least 50% of all episodes of SH could be anticipated. Even when symptomatic SH did not occur, the algorithm predicted episodes of moderate hypoglycemia.

15

Integration of the Three Methods

The three methods of this invention, as discussed above and illustrated in FIGS. 1-3, utilize the same series of SMBG data. Therefore, from an SMBG-device point of view, a unified display or matrix of the results of these three methods could be made 20 similar to the grid output presented below:

		EstHBA categories (Algorithm 1)						
		1	2	3	4	5	6	7
EstNSH categories	1				Ss 1			
	2							
	3							
	4	Ss 2						

Thus, for example, the output for subject 1 (Ss 1) shown in the above grid indicates that this person is likely to have HbA_{1c} between 9 and 9.5%, and has a 90% 25 chance not to experience severe hypoglycemia in the subsequent 6 months. The output for subject 2 (Ss 2) indicates that this person is likely to have HbA_{1c} below 8%, and

has a greater than 80% chance to experience at least 3 SH episodes in the subsequent 6 months.

In addition to this grid-output, the short term risk method provides a continuous tracking of the risk of imminent hypoglycemia and can be used to sound an alarm
5 when this risk becomes high.

The method of the invention may be implemented using hardware, software or a combination thereof and may be implemented in one or more computer systems or other processing systems, such as personal digit assistants (PDAs). In an example embodiment, the invention was implemented in software running on a general purpose
10 computer 900 as illustrated in FIG. 6. Computer system 600 includes one or more processors, such as processor 604. Processor 604 is connected to a communication infrastructure 606 (e.g., a communications bus, cross-over bar, or network). Computer system 600 includes a display interface 602 that forwards graphics, text, and other data from the communication infrastructure 606 (or from a frame buffer not shown) for
15 display on the display unit 630.

Computer system 600 also includes a main memory 608, preferably random access memory (RAM), and may also include a secondary memory 610. The secondary memory 610 may include, for example, a hard disk drive 612 and/or a removable storage drive 614, representing a floppy disk drive, a magnetic tape drive, an optical disk drive, etc. The removable storage drive 614 reads from and/or writes to a removable storage unit 618 in a well known manner. Removable storage unit 618, represents a floppy disk, magnetic tape, optical disk, etc. which is read by and written to by removable storage drive 614. As will be appreciated, the removable storage unit 618 includes a computer usable storage medium having stored therein computer
20 software and/or data.
25

In alternative embodiments, secondary memory 610 may include other means for allowing computer programs or other instructions to be loaded into computer system 600. Such means may include, for example, a removable storage unit 622 and an interface 620. Examples of such removable storage units/interfaces include a
30 program cartridge and cartridge interface (such as that found in video game devices), a removable memory chip (such as a ROM, PROM, EPROM or EEPROM) and associated socket, and other removable storage units 622 and interfaces 620 which

allow software and data to be transferred from the removable storage unit 622 to computer system 600.

Computer system 600 may also include a communications interface 624.

Communications interface 624 allows software and data to be transferred between 5 computer system 600 and external devices. Examples of communications interface 624 may include a modem, a network interface (such as an Ethernet card), a communications port, a PCMCIA slot and card, etc. Software and data transferred via communications interface 624 are in the form of signals 628 which may be electronic, electromagnetic, optical or other signals capable of being received by communications 10 interface 624. Signals 628 are provided to communications interface 624 via a communications path (i.e., channel) 626. Channel 626 carries signals 628 and may be implemented using wire or cable, fiber optics, a phone line, a cellular phone link, an RF link and other communications channels.

In this document, the terms "computer program medium" and "computer usable 15 medium" are used to generally refer to media such as removable storage drive 914, a hard disk installed in hard disk drive 612, and signals 628. These computer program products are means for providing software to computer system 600. The invention includes such computer program products.

Computer programs (also called computer control logic) are stored in main 20 memory 608 and/or secondary memory 610. Computer programs may also be received via communications interface 624. Such computer programs, when executed, enable computer system 600 to perform the features of the present invention as discussed herein. In particular, the computer programs, when executed, enable processor 604 to perform the functions of the present invention. Accordingly, such computer programs 25 represent controllers of computer system 600.

In an embodiment where the invention is implemented using software, the software may be stored in a computer program product and loaded into computer system 600 using removable storage drive 614, hard drive 612 or communications interface 624. The control logic (software), when executed by the processor 604, 30 causes the processor 604 to perform the functions of the invention as described herein.

In another embodiment, the invention is implemented primarily in hardware using, for example, hardware components such as application specific integrated

circuits (ASICs). Implementation of the hardware state machine to perform the functions described herein will be apparent to persons skilled in the relevant art(s).

In yet another embodiment, the invention is implemented using a combination of both hardware and software.

5 In an example software embodiment of the invention, the methods described above were implemented in SPSS control language, but could be implemented in other programs such as, but not limited to, C ++ programming language.

FIGS. 7 - 9 show block diagrammatic representation of alternative embodiments of the invention. Referring FIG. 7, there is shown a block diagrammatic 10 representation of the system 710 essentially comprises the glucose meter 728 used by a patient 712 for recording, *inter alia*, insulin dosage readings and measured blood glucose ("BG") levels. Data obtained by the glucose meter 728 is preferably transferred through appropriate communication links 714 or data modem 732 to a processing station or chip, such as a personal computer 740, PDA, or cellular 15 telephone. For instance, data stored may be stored within the glucose meter 728 and may be directly downloaded into the personal computer 740 through an appropriate interface cable. An example is the ONE TOUCH monitoring system or meter by LifeScan, Inc. which is compatible with IN TOUCH software which includes an interface cable to down load the data to a personal computer.

20 The glucose meter is common in the industry and includes essentially any device that can functions as a BG acquisition mechanism. The BG meter or acquisition mechanism, device, tool, or system includes various conventional methods directed toward drawing a blood sample (e.g. by fingerprick) for each test, and a determination of the glucose level using an instrument that reads glucose 25 concentrations by electromechanical or clorimetric methods. Recently, various methods for determining the concentration of blood analytes without drawing blood have been developed. For example, U.S. Pat. No. 5,267,152 to Yang et al. describes a noninvasive technique of measuring blood glucose concentration using near-IR radiation diffuse-reflection laser spectroscopy. Similar near-IR spectrometric devices 30 are also described in U.S. Pat. No. 5,086,229 to Rosenthal et al. and U.S. Pat. No. 4,975,581 to Robinson et al.

U.S. Pat. No. 5,139,023 to Stanley describes a transdermal blood glucose monitoring apparatus that relies on a permeability enhancer (e.g., a bile salt) to

facilitate transdermal movement of glucose along a concentration gradient established between interstitial fluid and a receiving medium. U.S. Pat. No. 5,036,861 to Sembrowich describes a passive glucose monitor that collects perspiration through a skin patch, where a cholinergic agent is used to stimulate perspiration secretion from 5 the eccrine sweat gland. Similar perspiration collection devices are described in U.S. Pat. No. 5,076,273 to Schoendorfer and U.S. Pat. No. 5,140,985 to Schroeder.

In addition, U.S. Pat. No. 5,279,543 to Glikfeld describes the use of iontophoresis to noninvasively sample a substance through skin into a receptacle on the skin surface. Glikfeld teaches that this sampling procedure can be coupled with a 10 glucose-specific biosensor or glucose-specific electrodes in order to monitor blood glucose. Moreover, International Publication No. WO 96/00110 to Tamada describes an iontophoretic apparatus for transdermal monitoring of a target substance, wherein an iontophoretic electrode is used to move an analyte into a collection reservoir and a biosensor is used to detect the target analyte present in the reservoir. Finally, U.S. Pat. 15 No. 6,144,869 to Berner describes a sampling system for measuring the concentration of an analyte present..

Further yet, the BG meter or acquisition mechanism may include indwelling catheters and subcutaneous tissue fluid sampling.

The computer or PDA 740 includes the software and hardware necessary to 20 process, analyze and interpret the self-recorded diabetes patient data in accordance with predefined flow sequences (as described above in detail) and generate an appropriate data interpretation output. Preferably, the results of the data analysis and interpretation performed upon the stored patient data by the computer 740 are displayed in the form of a paper report generated through a printer associated with the 25 personal computer 740. Alternatively, the results of the data interpretation procedure may be directly displayed on a video display unit associated with the computer 740.

FIG. 8 shows a block diagrammatic representation of an alternative embodiment having a diabetes management system that is a patient-operated apparatus 810 having a housing preferably sufficiently compact to enable apparatus 810 to be 30 hand-held and carried by a patient. A strip guide for receiving a blood glucose test strip (not shown) is located on a surface of housing 816. Test strip is for receiving a blood sample from the patient 812. The apparatus includes a microprocessor 822 and a memory 824 connected to microprocessor 822. Microprocessor 22 is designed to

execute a computer program stored in memory 824 to perform the various calculations and control functions as discussed in great detail above. A keypad 816 is connected to microprocessor 822 through a standard keypad decoder 826. Display 814 is connected to microprocessor 822 through a display driver 830. Microprocessor 822

5 communicates with display driver 830 via an interface, and display driver 830 updates and refreshes display 814 under the control of microprocessor 822. Speaker 854 and a clock 856 are also connected to microprocessor 822. Speaker 854 operates under the control of microprocessor 822 to emit audible tones alerting the patient to possible future hypoglycemia. Clock 856 supplies the current date and time to microprocessor

10 822.

Memory 824 also stores blood glucose values of the patient 812, the insulin dose values, the insulin types, and the parameter values used by microprocessor 822 to calculate future blood glucose values, supplemental insulin doses, and carbohydrate supplements. Each blood glucose value and insulin dose value is stored in memory

15 824 with a corresponding date and time. Memory 824 is preferably a non-volatile memory, such as an electrically erasable read only memory (EEPROM).

Apparatus 810 also includes a blood glucose meter 828 connected to microprocessor 822. Glucose meter 828 is designed to measure blood samples received on blood glucose test strips and to produce blood glucose values from measurements of

20 the blood samples. As mentioned previously, such glucose meters are well known in the art. Glucose meter 828 is preferably of the type which produces digital values which are output directly to microprocessor 822. Alternatively, blood glucose meter 828 may be of the type which produces analog values. In this alternative embodiment, blood glucose meter 828 is connected to microprocessor 822 through an analog to

25 digital converter (not shown).

Apparatus 810 further includes an input/output port 834, preferably a serial port, which is connected to microprocessor 822. Port 834 is connected to a modem 832 by an interface, preferably a standard RS232 interface. Modem 832 is for establishing a communication link between apparatus 810 and a personal computer 840 or a

30 healthcare provider computer 838 through a communication network 836. Specific techniques for connecting electronic devices through connection cords are well known in the art. Another alternative example is "bluetooth" technology communication.

Alternatively, FIG. 9 shows a block diagrammatic representation of an alternative embodiment having a diabetes management system that is a patient-operated apparatus 910, similar as shown in FIG. 8, having a housing preferably sufficiently compact to enable the apparatus 910 to be hand-held and carried by a 5 patient. However, the present embodiment includes a separate or detachable glucose meter or BG acquisition mechanism 928.

Accordingly, the embodiments described herein are capable of being implemented over data communication networks such as the internet, making evaluations, estimates, and information accessible to any processor or computer at any 10 remote location, as depicted in FIGS. 6-9 and/or U.S. Pat. No. 5,851,186 to Wood, of which is hereby incorporated by reference herein. Alternatively, patients located at remote locations may have the BG data transmitted to a central healthcare provider or residence, or a different remote location.

In summary, the invention proposes a data analysis computerized method and 15 system for the simultaneous evaluation of the two most important components of glycemic control in individuals with diabetes: HbA_{1c} and the risk of hypoglycemia. The method, while using only routine SMBG data, provides, among other things, three sets of output.

The potential implementations of the method, system, and computer program 20 product of the invention is that it provides the following advantages, but are not limited thereto. First, the invention enhances existing home BG monitoring devices by producing and displaying: 1) estimated categories for HbA_{1c}, 2) estimated probability for SH in the subsequent six months, and 3) estimated short-term risk of hypoglycemia (i.e. for the next 24 hours). The latter may include warnings, such as an alarm, that 25 indicates imminent hypoglycemic episodes. These three components can also be integrated to provide continuous information about the glycemic control of individuals with diabetes, and to enhance the monitoring of their risk of hypoglycemia.

As a second advantage, the invention enhances existing software or hardware 30 that retrieves SMBG data. Such software or hardware is produced by virtually every manufacturer of home BG monitoring devices and is customarily used by patients and health care providers to interpret SMBG data. The methods and system of the invention can be directly incorporated into existing home blood glucose monitors, or used for the enhancement of software that retrieves SMBG data, by introducing a data

interpretation component capable of predicting both HbA_{1c} and periods of increased risk of hypoglycemia.

Still yet another advantage, the invention evaluates the accuracy of home BG monitoring devices, both in the low and high BG ranges, and over the entire BG scale.

5 Moreover, another advantage, the invention evaluates the effectiveness of various treatments for diabetes.

Further still, as patients with diabetes face a life-long optimization problem of maintaining strict glycemic control without increasing their risk of hypoglycemia, the present invention alleviates this related problem by use of its simple and reliable

10 methods, i.e., the invention is capable of evaluating both patients' glycemic control and their risk of hypoglycemia, and at the same time applying it in their everyday environments.

15 Additionally, the invention provides the missing link by proposing three distinct, but compatible, algorithms for evaluating HbA_{1c} and the risk of hypoglycemia from SMBG data, to be used to predict the short-term and long-term risks of hypoglycemia, and the long-term risk of hyperglycemia.

20 Finally, another advantage, the invention evaluates the effectiveness of new insulin or insulin delivery devices. Any manufacturer or researcher of insulin or insulin delivery devices can utilize the embodiments of the invention to test the relative success of proposed or tested insulin types or device delivery designs.

25 The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting of the invention described herein. Scope of the invention is thus indicated by the appended claims rather than by the foregoing description, and all changes which come within the meaning and range of equivalency of the claims are therefore intended to be embraced therein.

CLAIMS

We claim:

1. A computerized method for evaluating the HbA_{1c} of a patient based on BG
 2 data collected over a predetermined duration, said method comprising:
 3 computing weighted deviation toward high blood glucose (WR) and estimated rate of
 4 change of blood glucose (Dr) based on said collected BG data; and
 5 estimating HbA_{1c} using a predetermined mathematical formula based on said
 6 computed WR and Dr.

1. 2. The method of claim 1, wherein:
 2 said computed WR is mathematically defined from a series of BG readings x_1, x_2, \dots, x_n
 3 taken at time points t_1, t_2, \dots, t_n as:

$$WR = \frac{1}{n} \sum_{i=1}^n wr(x_i; b)$$

5 where:

6 $wr(BG; b) = 10.f(BG)^b$ if $f(BG) > 0$ and 0 otherwise,
 7 b = 1, representing a weighting parameter, and
 8

9 said computed DR is mathematically defined as:

$$Dr = \text{average of } s_{k+1} - s_k,$$

11 where:

$$s_k = 10.S(k + t_1)^2 \text{ for } k = 0, 1, \dots, t_n - t_1, \\ S(t_j) = f(x_j), \text{ for } j = 1, \dots, n.$$

1. 3. The method of claim 1, wherein said the estimate of HbA_{1c} from said BG
 2 monitoring data is mathematically defined as :
 3 Estimated HbA_{1c} = 0.9008(WR) - 0.8207(DR) + 6.7489.

1. 4. The method of claim 1, further comprising:
 2 defining predetermined categories for the estimate of HbA_{1c}, each of said HbA_{1c}
 3 estimate categories representing a range of values for estimated HbA_{1c}; and
 assigning said estimated HbA_{1c} to at least one of said HbA_{1c} estimate categories.

1 5. The method of claim 4, wherein said HbA_{1c} estimate categories are defined as
2 follows:

3 classified category 1, wherein said estimated HbA_{1c} is less than about 7.8;
4 classified category 2, wherein said estimated HbA_{1c} is between about 7.8 and about
5 8.5;
6 classified category 3, wherein said estimated HbA_{1c} is between about 8.5 and about
7 9.0;
8 classified category 4, wherein said estimated HbA_{1c} is between about 9.0 and about
9 9.6;
10 classified category 5, wherein said estimated HbA_{1c} is between about 9.6 and about
11 10.3;
12 classified category 6, wherein said estimated HbA_{1c} is between about 10.3 and about
13 11.0; and
14 classified category 7, wherein said estimated HbA_{1c} is above about 11.0.

1 6. The method of claim 5, further comprising:
2 defining predicted confidence intervals for corresponding said HbA_{1c} estimate
3 categories, wherein said predicted confidence intervals are defined as follows:
4 said classified category 1 corresponds with a predicted HbA_{1c} less than about
5 8.0;
6 said classified category 2 corresponds with a predicted HbA_{1c} between about
7 8.0 and about 8.5;
8 said classified category 3 corresponds with a predicted HbA_{1c} between about
9 8.5 and about 9.0;
10 said classified category 4 corresponds with a predicted HbA_{1c} between about
11 9.0 and about 9.5;
12 said classified category 5 corresponds with a predicted HbA_{1c} between about
13 9.5 and about 10.1;
14 said classified category 6 corresponds with a predicted HbA_{1c} between about
15 10.1 and about 11.0; and

16 said classified category 7 corresponds with a predicted HbA_{1c} above about
17 11.0.

1 7. The method of claim 4, further comprising:
2 defining predicted confidence intervals for corresponding said HbA_{1c}, each of said
3 predicted confidence intervals representing a range of values for HbA_{1c}.

1 8. The method of claim 7, wherein said predicted HbA_{1c} confidence intervals
2 have about a 95% confidence level.

1 9. A computerized method for evaluating the HbA_{1c} of a patient based on BG
2 data collected over a predetermined duration, said method comprising:
3 computing weighted deviation toward high blood glucose (WR) and estimated rate of
4 change of blood glucose (Dr) based on said collected BG data;
5 estimating HbA_{1c} using a predetermined mathematical formula based on said
6 computed WR and Dr; and
7 providing a predetermined confidence interval for classification of said estimated
8 value of HbA_{1c}.

1 10. The method of claim 9, wherein:
2 said confidence interval is between about 85% to about 95%.

1 11. A system for evaluating HbA_{1c} of a patient based on BG data collected over a
2 predetermined duration, said system comprising:
3 a database component operative to maintain a database identifying said BG data;
4 a processor programmed to:
5 compute weighted deviation toward high blood glucose (WR) and estimated
6 rate of change of blood glucose (Dr) based on said collected BG data; and
7 estimate HbA_{1c} using a predetermined mathematical formula based on said
8 computed WR and Dr.

1 12. The system of claim 11, wherein:

2 said computed WR is mathematically defined from a series of BG readings x_1, x_2, \dots
 3 x_n taken at time points t_1, t_2, \dots, t_n as:

4
$$WR = \frac{1}{n} \sum_{i=1}^n wr(x_i; l)$$

5 where:

6
$$wr(BG; b) = 10.f(BG)^b \text{ if } f(BG) > 0 \text{ and } 0 \text{ otherwise,}$$

7 b = 1, representing a weighting parameter, and

8

9 said computed DR is mathematically defined as:

10
$$Dr = \text{average of } s_{k+1} - s_k,$$

11 where:

12
$$s_k = 10.S(k + t_1)^2 \text{ for } k = 0, 1, \dots, t_n - t_1,$$

13
$$S(t_j) = f(x_j), \text{ for } j = 1, \dots, n.$$

1 13. The system of claim 11, wherein said the estimate of HbA_{1c} from said BG
 2 monitoring data is mathematically defined as :

3 Estimated HbA_{1c} = 0.9008(WR) - 0.8207(DR) + 6.7489.

1 14. The system of claim 11, wherein said processor being further programmed to:
 2 define predetermined categories for the estimate of HbA_{1c}, each of said HbA_{1c}
 3 estimate categories representing a range of values for estimated HbA_{1c}; and
 4 assign said estimated HbA_{1c} to at least one of said HbA_{1c} estimate categories.

1 15. The system of claim 14, wherein said HbA_{1c} estimate categories are defined as
 2 follows:

3 classified category 1, wherein said estimated HbA_{1c} is less than about 7.8;

4 classified category 2, wherein said estimated HbA_{1c} is between about 7.8 and about
 5 8.5;

6 classified category 3, wherein said estimated HbA_{1c} is between about 8.5 and about
 7 9.0;

8 classified category 4, wherein said estimated HbA_{1c} is between about 9.0 and about
9 9.6;
10 classified category 5, wherein said estimated HbA_{1c} is between about 9.6 and about
11 10.3;
12 classified category 6, wherein said estimated HbA_{1c} is between about 10.3 and about
13 11.0; and
14 classified category 7, wherein said estimated HbA_{1c} is above about 11.0.

1 16. The system of claim 15, wherein said processor being further programmed to:
2 define predicted confidence intervals for corresponding said HbA_{1c} estimate
3 categories, wherein said predicted confidence intervals are defined as follows:

4 said classified category 1 corresponds with a predicted HbA_{1c} less than about
5 8.0;
6 said classified category 2 corresponds with a predicted HbA_{1c} between about
7 8.0 and about 8.5;
8 said classified category 3 corresponds with a predicted HbA_{1c} between about
9 8.5 and about 9.0;
10 said classified category 4 corresponds with a predicted HbA_{1c} between about
11 9.0 and about 9.5;
12 said classified category 5 corresponds with a predicted HbA_{1c} between about
13 9.5 and about 10.1;
14 said classified category 6 corresponds with a predicted HbA_{1c} between about
15 10.1 and about 11.0; and
16 said classified category 7 corresponds with a predicted HbA_{1c} above about
17 11.0.

1 17. The system of claim 14, wherein said processor being further programmed to:
2 define predicted confidence intervals for corresponding said HbA_{1c}, each of said
3 predicted confidence intervals representing a range of values for HbA_{1c}.

1 18. The system of claim 17, wherein said predicted HbA_{1c} confidence intervals
2 have about a 95% confidence level.

1 19. A glycemic control system for evaluating HbA_{1c} of a patient, said system
2 comprising:

3 a BG acquisition mechanism, said acquisition mechanism configured to acquire BG
4 data from the patient,

5 a database component operative to maintain a database identifying said BG data;
6 a processor programmed to:

7 compute weighted deviation toward high blood glucose (WR) and estimated
8 rate of change of blood glucose (Dr) based on said collected BG data; and

9 estimate HbA_{1c} using a predetermined mathematical formula based on said
10 computed WR and Dr.

1 20. A computer program product comprising a computer useable medium having
2 computer program logic for enabling at least one processor in a computer system to evaluate
3 HbA_{1c} of a patient based on BG data, said computer program logic comprising:

4 computing weighted deviation toward high blood glucose (WR) and estimated rate of
5 change of blood glucose (Dr) based on said collected BG data; and

6 estimating HbA_{1c} using a predetermined mathematical formula based on said
7 computed WR and Dr.

1 21. The computer program product of claim 20, wherein said computer program
2 logic further comprises:

3 providing a predetermined confidence interval for classification of said estimated
4 value of HbA_{1c}, wherein said confidence interval is a single value or a range of values.

1 22. A computerized method for evaluating the long term probability for severe
2 hypoglycemia (SH) of a patient based on BG data collected over a predetermined duration,
3 said method comprising:

4 computing weighted deviation toward low blood glucose (WL) and estimated rate of
 5 fall of blood glucose in the low BG range (DrDn) based on said collected BG data; and
 6 estimating the number of future SH episodes using a predetermined mathematical
 7 formula based on said computed WL and DrDn.

1 23. The method of claim 22, wherein:

2 said computed WL is mathematically defined from a series of BG readings x_1, x_2, \dots
 3 x_n taken at time points t_1, t_2, \dots, t_n as:

4
$$WL = \frac{1}{n} \sum_{i=1}^n wl(x_i; 2)$$

5 where:

6
$$wl(BG; a) = 10.f(BG)^a \text{ if } f(BG) > 0 \text{ and } 0 \text{ otherwise,}$$

7 $a = 2$, representing a weighting parameter, and

8 said computed DR is mathematically defined as:

9 $DrDn = \text{average of } s_{k+1} - s_k, \text{ provided that } s_k < s_{k+1},$

10 where: $s_k = 10.S(k + t_j)^2$ for $k = 0, 1, \dots, t_n - t_1$,

11 $S(t_j) = f(x_j), \text{ for } j = 1, \dots, n.$

1 24. The method of claim 22, wherein said estimated number of future SH
 2 episodes (EstNSH) is mathematically defined as :

3
$$\text{EstNSH} = 3.3613(WL) - 4.3427(DrDn) - 1.2716.$$

1 25. The method of claim 22, further comprising:

2 defining predetermined EstNSH categories, each of said EstNSH categories
 3 representing a range of values for EstNSH; and

4 assigning said EstNSH to at least one of said EstNSH categories.

5 26. The method of claim 25, wherein said EstNSH categories are defined as
 6 follows:

7 category 1, wherein said EstNSH category is less than about 0.775;

8 category 2, wherein said EstNSH category is between about 0.775 and about 3.750 ;

9 category 3, wherein said EstNSH category is between about 3.750 and about 7.000;
10 and
11 category 4, wherein said EstNSH category is above about 7.0.

1 27. The method of claim 26, further comprising:
2 defining a probability of incurring a select number of SH episodes respectively for
3 each of said assigned EstNSH categories, wherein said probability and said respective select
4 number of SH are defined as:

5 said classified category 1 corresponds with about a 90% probability of
6 incurring about 0 SH episodes and about a 10% probability of incurring about 1 or
7 more SH episodes over the predetermined duration;

8 said classified category 2 corresponds with about a 50% probability of
9 incurring about 0 SH episodes, 25 % probability of incurring about 1 to about 2 SH
10 episodes, and 25 % probability of incurring more than 2 SH episodes over the
11 predetermined duration;

12 said classified category 3 corresponds with about a 25% probability of
13 incurring about 0 SH episodes, 25 % probability of incurring about 1 to about 2 SH
14 episodes, and 50 % probability of incurring more than 2 SH episodes over the
15 predetermined duration; and

16 said classified category 4 corresponds with about a 20% probability of
17 incurring about 0 to about 2 SH episodes and about a 80% probability of incurring
18 more than 2 SH episodes over the predetermined duration.

1 28. The method of claim 25, further comprising:
2 defining a probability of incurring a select number of SH episodes respectively for
3 each of said assigned EstNSH categories, and
4 providing at least one probability of incurring a select number of SH episodes
5 according to said EstNSH category to which said EstNSH is assigned.

1 29. A computerized method for evaluating the long term probability for severe
2 hypoglycemia (SH) of a patient based on BG data collected over a predetermined duration,
3 said method comprising:

4 computing weighted deviation toward low blood glucose (WL) and estimated rate of
5 fall of blood glucose in the low BG range (DrDn) based on said collected BG data;
6 estimating the number of future SH episodes using a predetermined mathematical
7 formula based on said computed WL and DrDn; and
8 defining a probability of incurring a select number of SH episodes respective to said
9 estimated SH episodes.

1 30. A system for evaluating the long term probability for severe hypoglycemia
2 (SH) of a patient based on BG data collected over a predetermined duration, said system
3 comprising:

4 a database component operative to maintain a database identifying said BG data;
5 a processor programmed to:
6 computing weighted deviation toward low blood glucose (WL) and estimated
7 rate of fall of blood glucose in the low BG range (DrDn) based on said collected BG
8 data; and
9 estimating the number of future SH episodes using a predetermined
10 mathematical formula based on said computed WL and DrDn.

1 31. The system of claim 30, wherein:

2 said computed WL is mathematically defined from a series of BG readings x_1, x_2, \dots
3 x_n taken at time points t_1, t_2, \dots, t_n as:

4
$$WL = \frac{1}{n} \sum_{i=1}^n wl(x_i; 2)$$

5 where:

6 $wl(BG; a) = 10f(BG)^a$ if $f(BG) > 0$ and 0 otherwise,
7 a = 2, representing a weighting parameter, and
8

9 said computed DR is mathematically defined as:

10 $DrDn = \text{average of } s_{k+1} - s_k, \text{ provided that } s_k < s_{k+1},$
11 where:

12 $s_k = 10.S(k + t_l)^2 \text{ for } k=0, 1, \dots, t_n - t_l,$
13 $S(t_j) = f(x_j), \text{ for } j=1, \dots, n.$

1 32. The system of claim 30, wherein said estimated number of future SH episodes
2 (EstNSH) is mathematically defined as :

3 $\text{EstNSH} = 3.3613(\text{WL}) - 4.3427(\text{DrDn}) - 1.2716.$

1 33. The system of claim 30, wherein said processor being further programmed to:
2 define predetermined EstNSH categories, each of said EstNSH categories
3 representing a range of values for EstNSH; and
4 assign said EstNSH to at least one of said EstNSH categories.

1 34. The system of claim 33, wherein said EstNSH categories are defined as
2 follows:

3 category 1, wherein said EstNSH category is less than about 0.775;
4 category 2, wherein said EstNSH category is between about 0.775 and about 3.750 ;
5 category 3, wherein said EstNSH category is between about 3.750 and about 7.000;
6 and
7 category 4, wherein said EstNSH category is above about 7.0.

1 35. The method of claim 34, wherein said processor being further programmed to:
2 define a probability of incurring a select number of SH episodes respectively for each
3 of said assigned EstNSH categories, wherein said probability and said respective select
4 number of SH are defined as:

5 said classified category 1 corresponds with about a 90% probability of
6 incurring about 0 SH episodes and about a 10% probability of incurring about 1 or
7 more SH episodes over the predetermined duration;

8 said classified category 2 corresponds with about a 50% probability of
9 incurring about 0 SH episodes, 25 % probability of incurring about 1 to about 2 SH
10 episodes, and 25 % probability of incurring more than 2 SH episodes over the
11 predetermined duration;

12 said classified category 3 corresponds with about a 25% probability of
13 incurring about 0 SH episodes, 25 % probability of incurring about 1 to about 2 SH
14 episodes, and 50 % probability of incurring more than 2 SH episodes over the
15 predetermined duration; and

16 said classified category 4 corresponds with about a 20% probability of
17 incurring about 0 to about 2 SH episodes and about a 80% probability of incurring
18 more than 2 SH episodes over the predetermined duration.

1 36. The system of claim 33, wherein said processor being further programmed to:
2 define a probability of incurring a select number of SH episodes respectively for each
3 of said assigned EstNSH categories; and

4 provide at least one probability of incurring a select number of SH episodes according
5 to said EstNSH category to which said EstNSH is assigned.

1 37. A glycemic control system for evaluating the long term probability for severe
2 hypoglycemia (SH) of a patient, said system comprising:

3 a BG acquisition mechanism, said acquisition mechanism configured to acquire BG
4 data from the patient,

5 a database component operative to maintain a database identifying said BG data;
6 a processor programmed to:

7 computing weighted deviation toward low blood glucose (WL) and estimated
8 rate of fall of blood glucose in the low BG range (DrDn) based on said collected BG
9 data; and

10 estimating the number of future SH episodes using a predetermined
11 mathematical formula based on said computed WL and DrDn.

1 38. A computer program product comprising a computer useable medium having
2 computer program logic for enabling at least one processor in a computer system to evaluate
3 long term probability for severe hypoglycemia (SH) of a patient based on BG data, said
4 computer program logic comprising:

5 computing weighted deviation toward low blood glucose (WL) and estimated rate of
6 fall of blood glucose in the low BG range (DrDn) based on said collected BG data; and
7 estimating the number of future SH episodes using a predetermined mathematical
8 formula based on said computed WL and DrDn.

1 39. The computer program product of claim 38, wherein said computer program
2 logic further comprises:

3 defining a probability of incurring a select number of SH episodes respective to said
4 estimated SH episodes.

1 40. A computerized method for evaluating the short term risk for severe
2 hypoglycemia (SH) of a patient based on BG data collected over a predetermined duration,
3 said method comprising:

4 computing weighted deviation toward low blood glucose (WL);
5 determining $Max(wl)$ by calculating maximum value of $wl(BG;2)$; and
6 determining risk value by taking the geometric mean of WL and $Max(wl)$ over said
7 predetermined duration, said risk value is mathematically defined as:

8 risk value = $\sqrt{WL \bullet Max(wl)}$.

1 41. The method of claim 40, wherein:

2 said computed WL is mathematically defined from a series of BG readings $x_1, x_2, \dots x_n$
3 taken over the predetermined duration as:

4 $WL = \frac{1}{n} \sum_{i=1}^n wl(x_i;2)$

5 where:

6 $wl(BG;a) = 10 f(BG)^a$ if $f(BG) > 0$ and 0 otherwise,

7 a = 2, representing a weighting parameter.

1 42. The method of claim 40, further comprising:
2 providing a predetermined threshold risk value; and
3 comparing said determined risk value to said threshold risk value.

- 1 43. The method of claim 42, wherein:
 - 2 if said determined risk value is greater than said threshold value then short term risk
 - 3 of incurring a hypoglycemic episode is high; and
 - 4 if said determined risk value is less than said threshold value then short term risk of
 - 5 incurring a hypoglycemic episode is low.

1 44. The method of claim 43, wherein said short term is approximately a 24 hour
2 period.

1 45. The method of claim 43, wherein said short term ranges from about 12 to
2 about 72 hour period.

1 46. The method of claim 43, wherein said threshold value is approximately 17.

1 47. The method of claim 43, wherein said threshold value is between about 12 to
2 25.

1 48. A system for evaluating the short term risk for severe hypoglycemia (SH) of a
2 patient based on BG data collected over a predetermined duration, said system comprising:
3 a database component operative to maintain a database identifying said BG data;
4 a processor programmed to:
5 compute weighted deviation toward low blood glucose (WL);
6 determine $Max(wl)$ by calculating maximum value of $wl(BG; 2)$; and
7 determine risk value by taking the geometric mean of WL and $Max(wl)$ over
8 said predetermined duration, said risk value is mathematically defined as:

$$9 \qquad \qquad \text{risk value} = \sqrt{WL \bullet \text{Max}(wl)}.$$

1 49. The system of claim 48, wherein:

2 said computed WL is mathematically defined from a series of BG readings $x_1, x_2, \dots x_n$
3 taken over the predetermined duration as:

4
$$WL = \frac{1}{n} \sum_{i=1}^n wl(x_i; 2)$$

5 where:

6 $wl(BG; a) = 10.f(BG)^a$ if $f(BG) > 0$ and 0 otherwise,

7 a = 2, representing a weighting parameter.

1 50. The system of claim 48, wherein said processor being further programmed to:
2 provide a predetermined threshold risk value; and
3 compare said determined risk value to said threshold risk value.

1 51. The system of claim 50, wherein:

2 if said determined risk value is greater than said threshold value then short term risk
3 of incurring a hypoglycemic episode is high; and

4 if said determined risk value is less than said threshold value then short term risk of
5 incurring a hypoglycemic episode is low.

1 52. The system of claim 51, wherein said short term is approximately a 24 hour
2 period.

1 53. The method of claim 51, wherein said short term ranges from about 12 to
2 about 72 hour period.

1 54. The system of claim 51, wherein said threshold value is approximately 17.

1 55. The method of claim 51, wherein said threshold value is between about 12 to
2 25.

1 56. A glycemic control system for evaluating the short term risk for severe
2 hypoglycemia (SH) of a patient, said system comprising:
3 a BG acquisition mechanism, said acquisition mechanism configured to acquire BG
4 data from the patient,
5 a database component operative to maintain a database identifying said BG data;
6 a processor programmed to:
7 compute weighted deviation toward low blood glucose (WL);
8 determine $Max(wl)$ by calculating maximum value of $wl(BG; 2)$; and
9 determine risk value by taking the geometric mean of WL and $Max(wl)$ over
10 said predetermined duration, said risk value is mathematically defined as:

$$11 \qquad \qquad \qquad \text{risk value} = \sqrt{WL \bullet \text{Max}(wl)}.$$

1 57. A computer program product comprising a computer useable medium having
2 computer program logic for enabling at least one processor in a computer system to evaluate
3 the short term risk for severe hypoglycemia (SH) of a patient based on BG data collected over
4 a predetermined duration, said computer program logic comprising:

5 computing weighted deviation toward low blood glucose (WL);
6 determining $\text{Max}(wl)$ by calculating maximum value of $wl(BG; 2)$; and
7 determining risk value by taking the geometric mean of WL and $\text{Max}(wl)$ over said
8 predetermined duration, said risk value is mathematically defined as:

$$9 \quad \text{risk value} = \sqrt{WL \bullet \text{Max}(wl)} .$$

1 58. The computer program product of claim 57, wherein said computer program
2 logic further comprises:

3 providing a predetermined threshold risk value; and

4 comparing said determined risk value to said threshold risk value.

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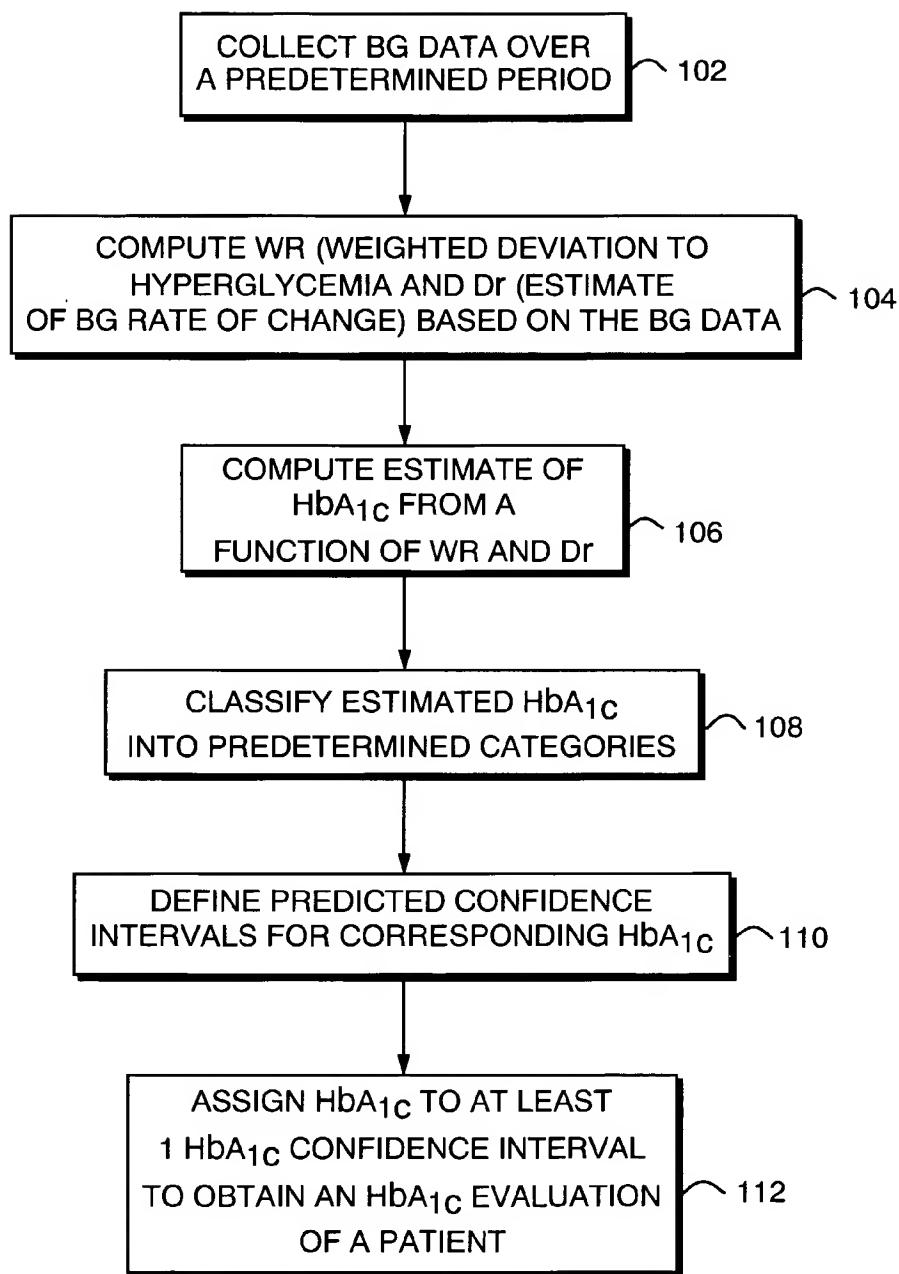
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FIG. 1

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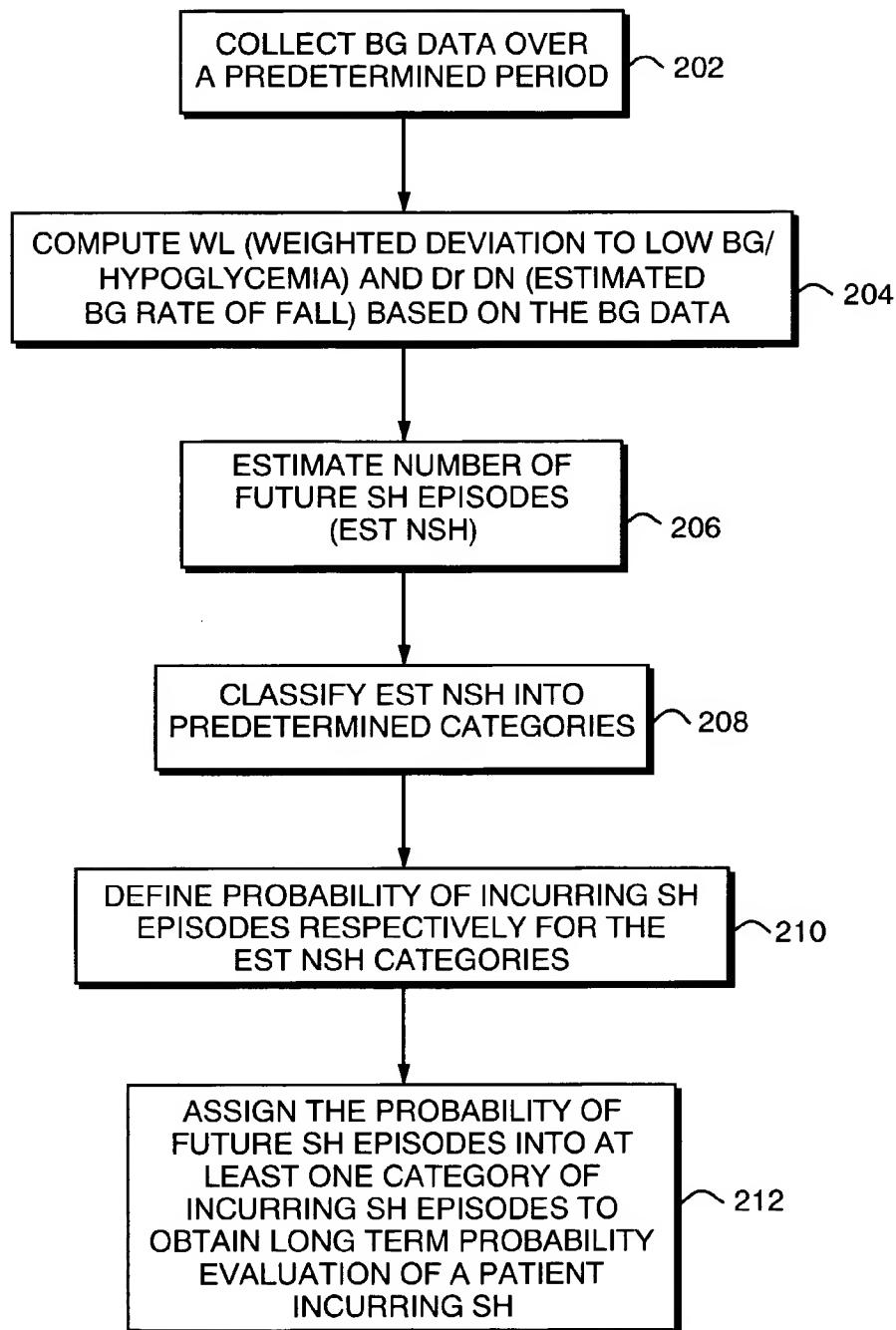
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FIG. 2

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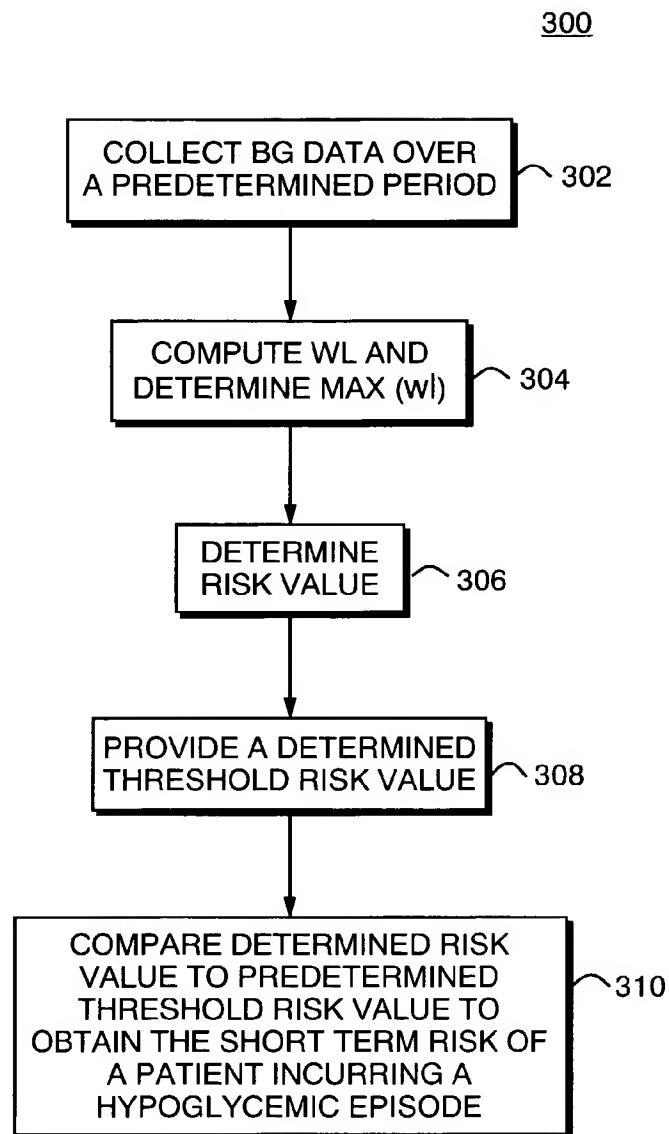


FIG. 3

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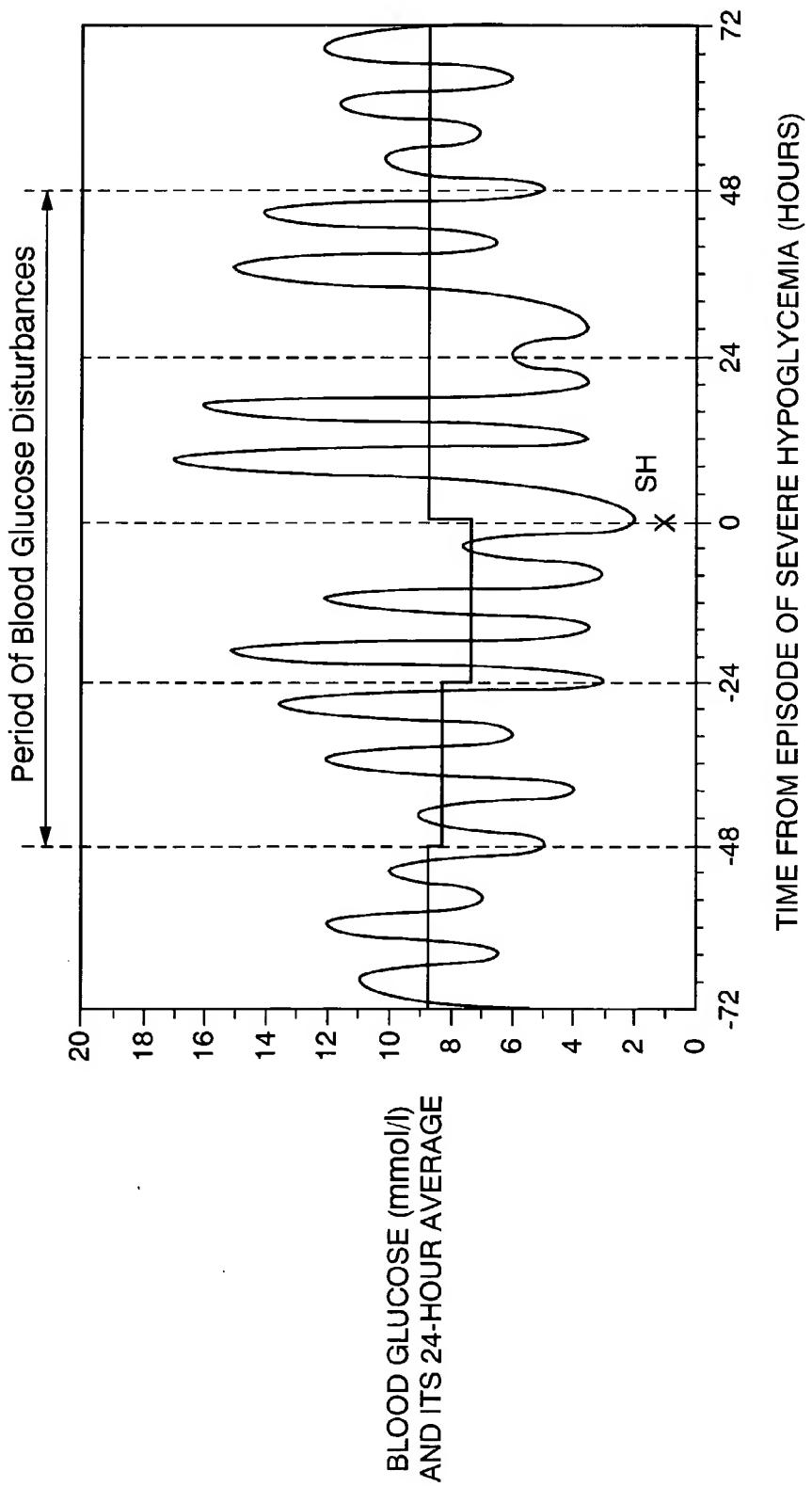


FIG. 4

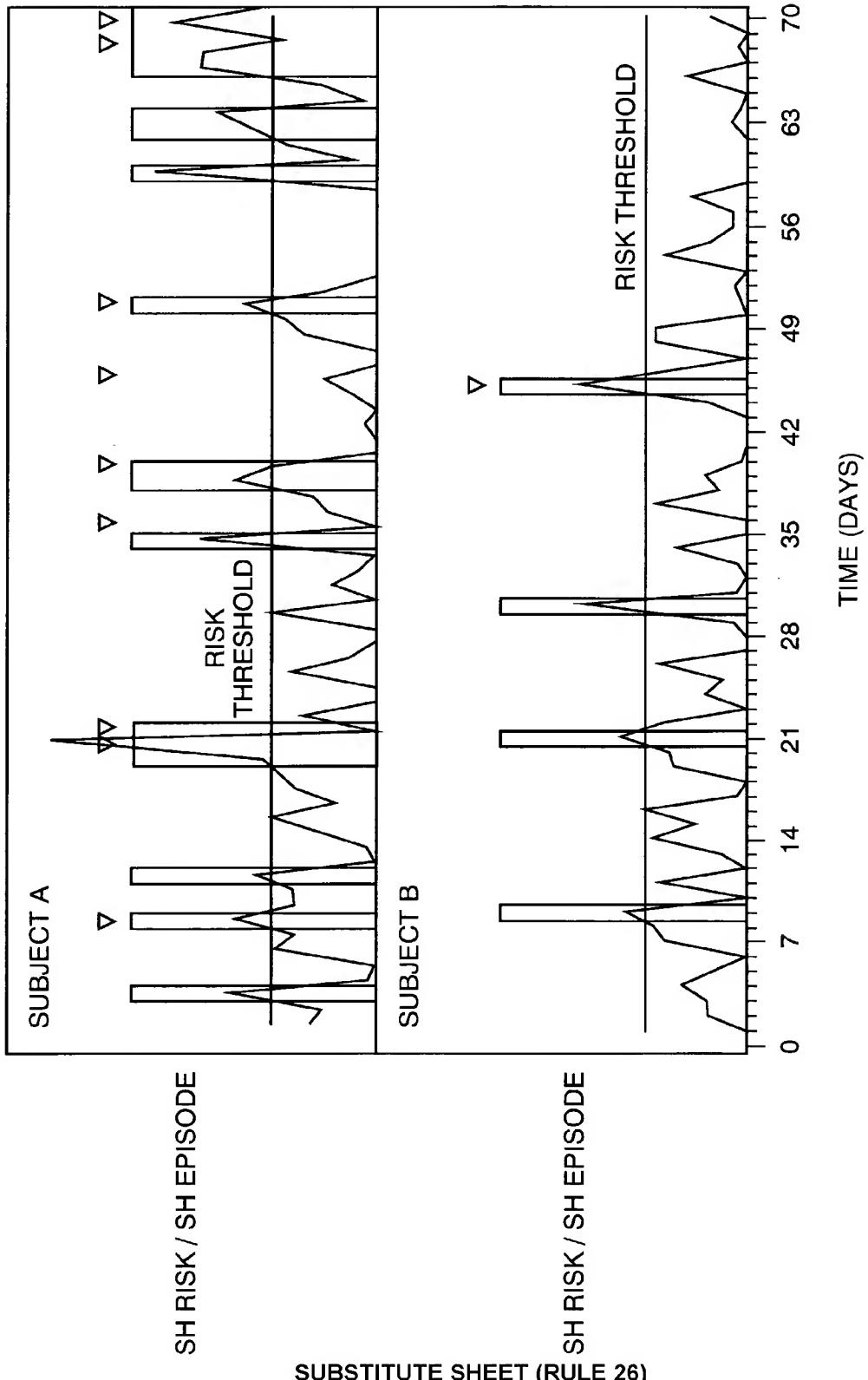


FIG. 5

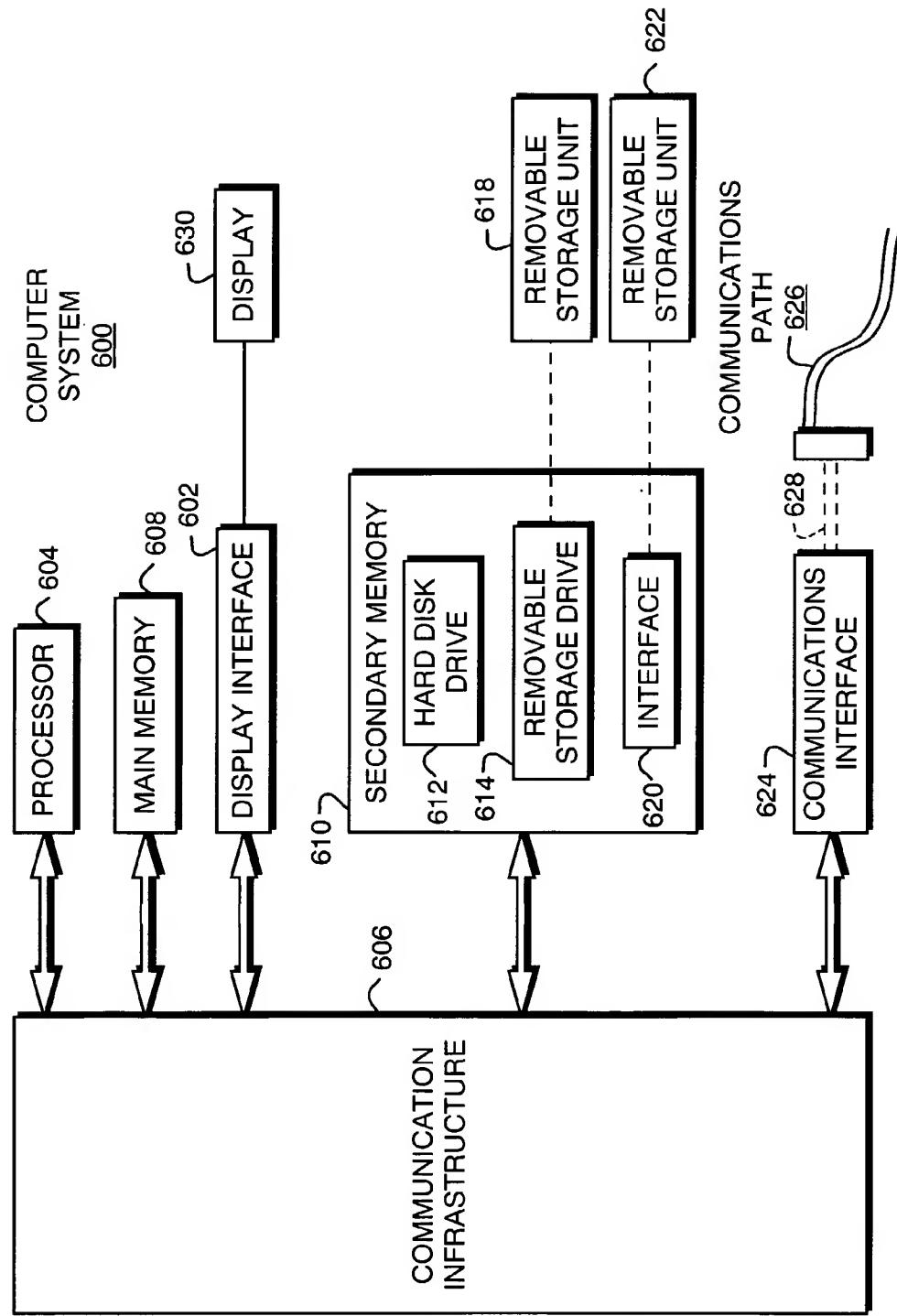


FIG. 6

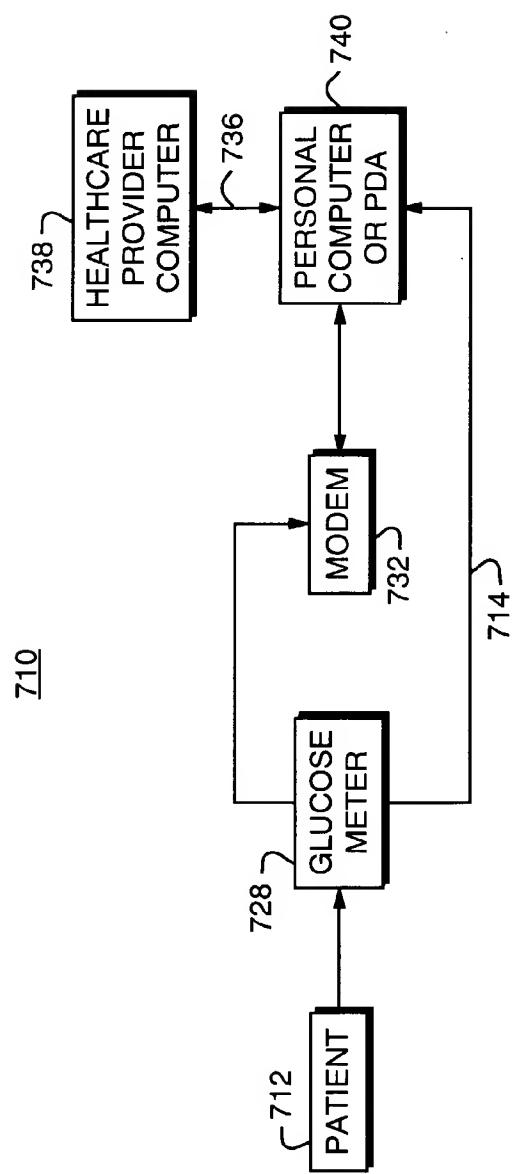


FIG. 7

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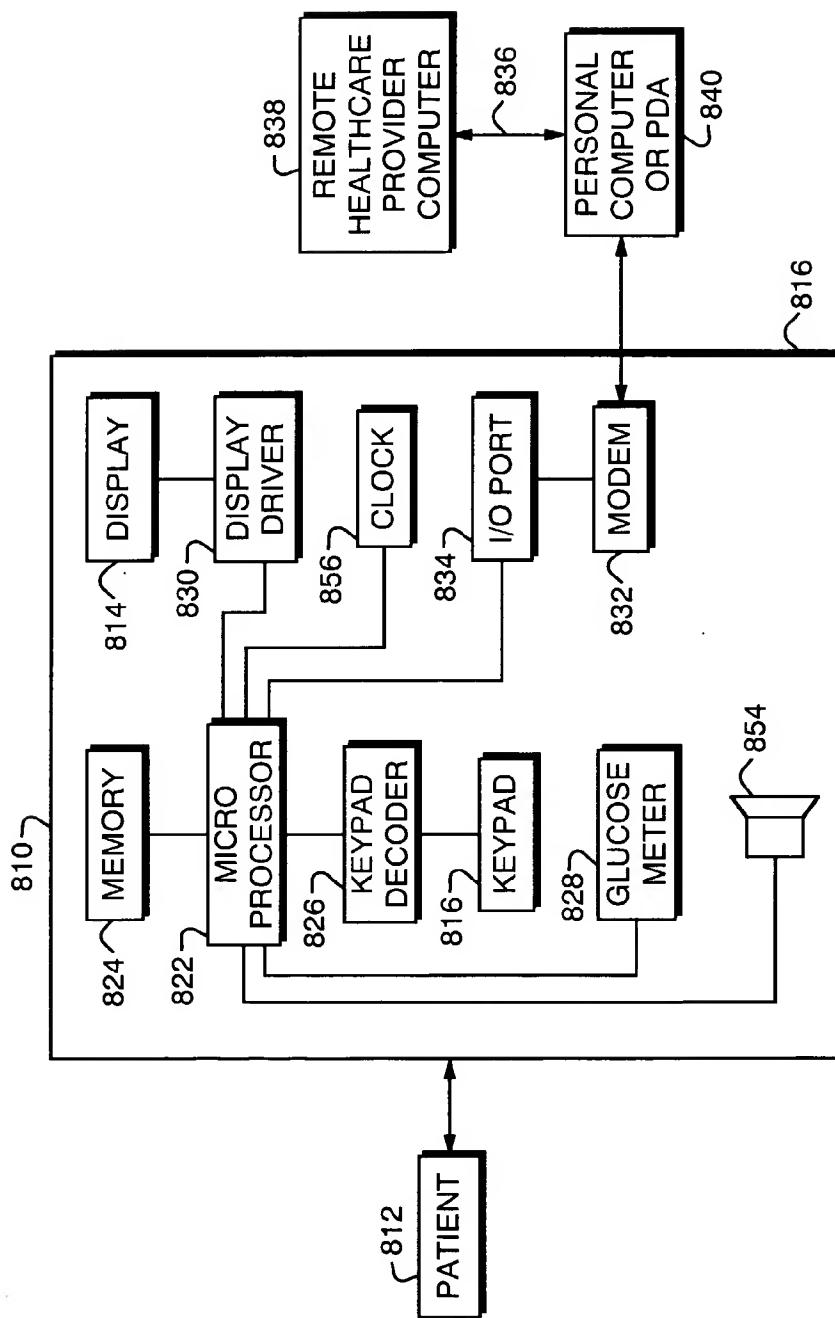


FIG. 8

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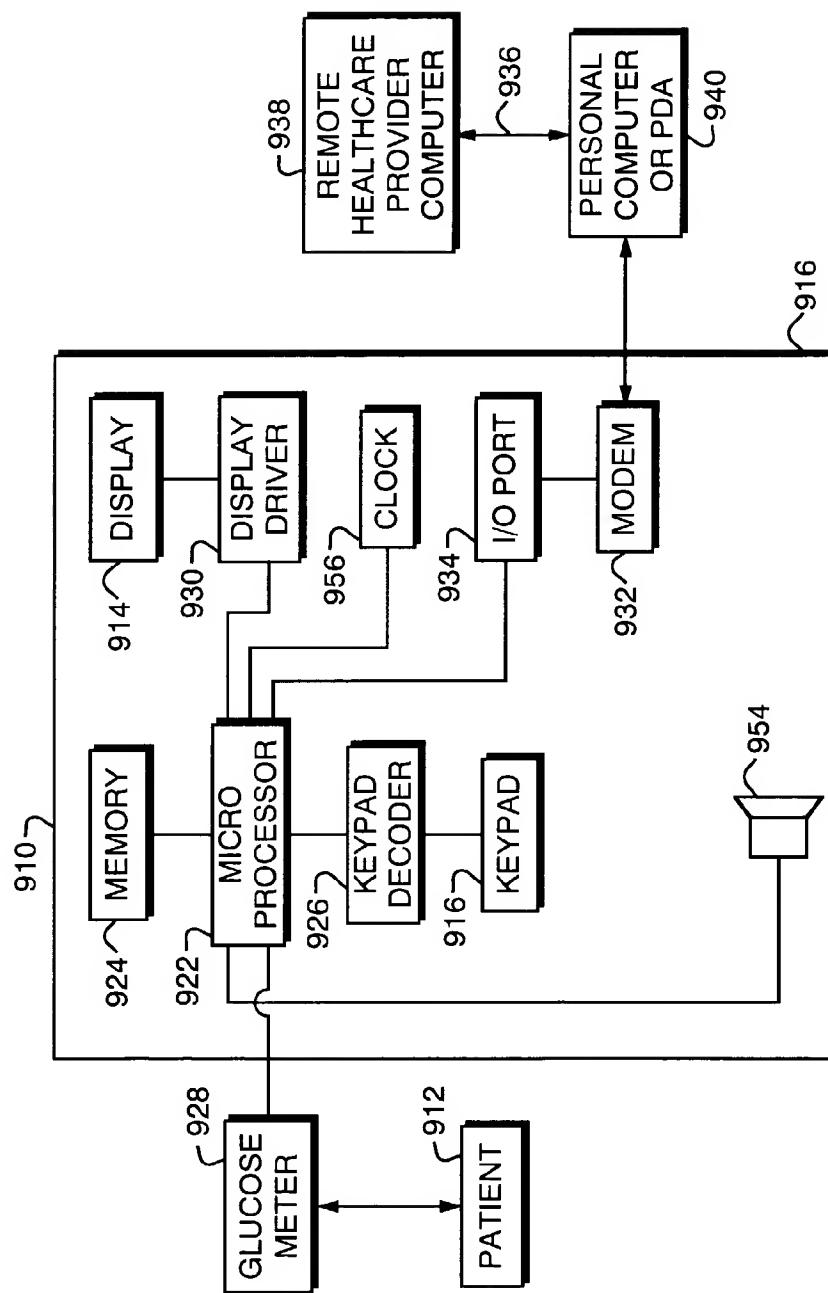


FIG. 9